Evaluation Plan for Army Community Service - Mobilization, Deployment and Stability Support Operations

FY 16 Request for Applications (RFA)

APPLICATION DEADLINE: July 21, 2016

ELIGIBILITY: See Part III, A of RFA



United States Department of Agriculture

National Institute of Food and Agriculture

NATIONAL INSTITUTE OF FOOD AND AGRICULTURE; U.S. DEPARTMENT OF AGRICULTURE

Evaluation Plan for Army Community Service - Mobilization, Deployment and Stability Support Operations

INITIAL ANNOUNCEMENT

CATALOG OF FEDERAL DOMESTIC ASSISTANCE: This program is listed in the Catalog of Federal Domestic Assistance under 10.500.

DATES: Applications must be received by **5:00 p.m. Eastern Time** on July 21, 2016. Applications received after this deadline will normally not be considered for funding (see Part IV, C. of this RFA). Comments regarding this request for applications (RFA) are requested within 6 months from the issuance of this notice. Comments received after that date will be considered to the extent practicable.

STAKEHOLDER INPUT: The National Institute of Food and Agriculture (NIFA) seeks your comments about this RFA. We will consider the comments when we develop the next RFA for the program, if applicable, and we'll use them to meet the requirements of section 103(c)(2) of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7613(c)(2)). Submit written stakeholder comments by the deadline set forth in the DATES portion of this Notice via e-mail to: Policy@nifa.usda.gov. (This e-mail address is intended only for receiving comments regarding this RFA and not requesting information or forms.) In your comments, please state that you are responding to the Evaluation Plan for Army Community Service - Mobilization, Deployment and Stability Support Operations RFA.

EXECUTIVE SUMMARY: NIFA requests applications for the **Evaluation Plan for Army Community Service - Mobilization, Deployment and Stability Support Operations (ACSE)** for fiscal year (FY) 2016 to provide Army Community Service with a formal evaluation plan of its mobilization and deployment program. The anticipated amount available for this grant in FY 2016 is \$289,007.

This notice identifies the objectives for **ACSE** projects, the eligibility criteria for projects and applicants, and the application forms and associated instructions needed to apply for an **ACSE** grant.

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PART I—FUNDING OPPORTUNITY DESCRIPTION

A. Legislative Authority and Background

In accordance with the Economy Act of 1932, as amended, (31 U.S.C. 1535), the Department of Defense (DoD), in order to utilize the unique resources of the United States Department of Agriculture (USDA), the National Institute of Food and Agriculture (NIFA), has provided NIFA with funds to support the Evaluation Plan for Army Community Service - Mobilization, Deployment and Stability Support Operations (ACSE) Competitive Grant Program.

Pursuant to 7 U.S.C. Sec. 3318(c), the Secretary of Agriculture, acting through the Director of the NIFA, may enter into contracts, grants, or cooperative agreements, for periods not to exceed five years, with State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or educational institutions or organizations, Federal and private agencies and organizations, individuals, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in the food, agriculture, natural resources, and human sciences of the Department of Agriculture.

Within the Department of Defense, Economy Act transactions are governed by Department of Defense Financial Management Regulation, Volume 11A, Chapter 3, Economy Act Orders.

B. Purpose and Priorities

ACSE is aligned with the USDA Strategic Plan (http://www.ocfo.usda.gov/usdasp/usdasp.htm) Strategic Goal 1: Assist Rural Communities to Create Prosperity so They Are Self-sustaining, Repopulating, and Economically Thriving through addressing human and social capital. ACSE is aligned with the USDA Research, Economics, and Education (REE) Action Plan (http://www.ree.usda.gov/ree/news/USDA_REE_Action_Plan_03-2014.pdf) Goal 7: Rural-Urban Interdependence and Prosperity through "supporting information and technology transfer and translational research, 'transformational extension,' to inform citizens and enable and support vibrant and resilient communities." ACSE is aligned with the NIFA's Strategic Plan (http://nifa.usda.gov/about/pdfs/strat_plan_2014.pdf), Goal 1: Science through "catalyzing exemplary and relevant research, education and extension programs."

This work also supports the mission of the DoD-USDA Partnership for Military Families Memorandum of Understanding (MOU) signed in 2010 by NIFA and DoD senior leadership. The goal of the MOU is "...to enhance federal interagency coordination and build capacity for partnerships and collaboration among the agencies and across public and private sectors to sustain programs and services for military Service members and their families."

Background and Context

The Army continues to build the capacity to conduct programmatic evaluation and support evidence-based programs across its Family Readiness System. The Office of Secretary of Defense Cost Assessment and Program Evaluation (CAPE) directed Office of the Deputy

Assistant Secretary of Defense (ODASD) Military Community & Family Policy (MC&FP) to conduct evaluations of family support programs over the course of 5 years, beginning in Fiscal Year 2013. The DoD requires that the military Services provide services and support to Service members and their families before, during, and after deployment to promote positive adjustment to deployment, family separation, and family reunion (DoD Instruction 1342.22, 3 July 2012). These services shall:

- (1) Be provided for mobilizations, activations, and deployments lasting longer than 30 days and those happening as a unit or individually.
- (2) Educate Service members and families about deployment-related challenges they may face, and the services available to them to cope with such challenges.
- (3) Be initiated early enough that Service members and their families have adequate time to participate and respond to the information they receive.
- (4) Ensure ongoing outreach, communication, activities, and events with deploying and deployed units, Service members and their families throughout all phases of deployment to promote prevention and early identification of family problems that may compromise military or family readiness.
- (5) As requested by commanders or Service members, provide assistance in developing family care plans.

The Army provides these support services through its Mobilization, Deployment and Stability Support Operations (MD&SSO) program. The MD&SSO activities are designed to assist leaders, Soldiers, and families to successfully manage the challenges of mobilization and deployments before, during and after they occur. The Army's MD&SSO program also incorporates Emergency Family Assistance responsibilities IAW DODI 1342.22, such as noncombatant evacuation and repatriation assistance and coordination of Emergency Family Assistance Center operations. The standardized training curriculum used by MD&SSO is referred to as Operation R.E.A.D.Y. (Resources for Educating About Deployment and You) and Master Resilience Training.

The MD&SSO program is governed by Army Regulation 608-1, Army Community Service Centers, 12 March 2013. The Army Staff, the U.S. Army Office of the Assistant Chief of Staff for Installation Management (OACSIM) is responsible for program oversight, policy, and resource programming (future years) for Army Active and Reserve Components. The U.S. Army Installation Management Command (IMCOM), Army National Guard, and U.S. Army Reserve implement policies and program guidance to execute the program across the Active and Reserve Components.

Current Status of Army Community Service Mobilization and Deployment Program

The Army has yet to conduct a formal evaluation of its mobilization and deployment program. Evaluation of the Army's MD&SSO program is critical to ensuring that services for Soldiers and families sustain and strengthen individual, family, and unit readiness. As the Army enters a

period of financial austerity, program effectiveness evaluation and cost efficiency are critically important.

In 2015, an initial MD&SSO evaluation plan was developed to determine if the program is achieving intended outcomes. This evaluation plan addressed (1) client data currently captured by the client tracking system for garrisons and available to evaluators (e.g., client participation), and (2) proposed data (e.g., surveys) to capture information of potential use to evaluations. This new evaluation plan could be used as the starting point for completing an Army-wide, standardized approach to assessing the program.

The primary project objective for this project is:

• To provide Army Community Service with a high quality, formal evaluation plan of its mobilization and deployment program.

This objective will be accomplished through the following deliverables:

- An asset map of existing components (activities and outputs) of the Army's MD&SSO program to link activities/outputs to critical outcomes.
- Assessment of the evaluability of individual components within the MD&SSO program, to include Family Readiness Support Assistants (FRSAs).
- An evaluation plan for the MD&SSO program that includes specific, measureable, attainable, relevant, and time bound outcomes.

Note: This project does not include the deployment of the evaluation plan or its tools. This will be accomplished through later projects as determined by the Army.

PART II—AWARD INFORMATION

A. Available Funding

The anticipated amount available for NIFA support of this grant in FY 2016 is \$289,007. The funds will be awarded through a cooperative agreement. A cooperative agreement is an agreement in which the Federal Government provides funding authorized by public statute, and the funding Federal agency plays a substantial role. There is no commitment by USDA to fund any particular application, or to make a specific number of awards.

Awards issued as a result of this RFA will have designated the Automated Standard Applications for Payment System (ASAP), operated by the Department of Treasury's Bureau of the Fiscal Service, as the payment system for funds. For more information see https://www.fiscal.treasury.gov/fsservices/gov/pmt/asap/asap_home.htm.

B. Types of Applications

In FY 2016, you may only submit a new application to the ACSE Competitive Grant Program:

<u>New application</u>. This is a project application that has not been previously submitted to the ACSE Competitive Grant Program. We will review all new applications competitively using the selection process and evaluation criteria described in Part V—Application Review Requirements.

C. Project Types

For FY 2016, approximately \$289,007 will be available to fund one proposal for one year (see Part I, A. Legislative Authority and Background).

D. Responsible and Ethical Conduct of Research

In accordance with sections 2, 3, and 8 of 2 CFR Part 422, institutions that conduct USDA-funded extramural research must foster an atmosphere conducive to research integrity, bear primary responsibility for prevention and detection of research misconduct, and maintain and effectively communicate and train their staff regarding policies and procedures. In the event an application to NIFA results in an award, the Authorized Representative (AR) assures, through acceptance of the award, that the institution will comply with the above requirements. Award recipients shall, upon request, make available to NIFA the policies, procedures, and documentation to support the conduct of the training. For information about the Responsible and Ethical Conduct of Research, see http://nifa.usda.gov/responsible-and-ethical-conduct-research.

PART III—ELIGIBILITY INFORMATION

A. Eligible Applicants

Applications may only be submitted by State agricultural experiment stations, State cooperative extension services, all colleges and universities, other research or educational institutions or organizations, and any other contractor or recipient, either foreign or domestic, to further research, extension, or teaching programs in food, agriculture, natural resources and human sciences.

Award recipients may subcontract to organizations not eligible to apply provided such organizations are necessary for the conduct of the project. Failure to meet an eligibility criterion by the time of application deadline may result in the application being excluded from consideration or, even though an application may be reviewed, will preclude NIFA from making an award.

B. Cost Sharing or Matching

NIFA does not require matching support for this program and matching resources will not be factored into the review process as evaluation criteria.

PART IV—APPLICATION AND SUBMISSION INFORMATION

A. Electronic Application Package

Only electronic applications may be submitted via Grants.gov to NIFA in response to this RFA. We urge you to submit early to the Grants.gov system. For an overview of the Grants.gov application process see http://www.grants.gov/web/grants/applicants/apply-for-grants.html **New Users of Grants.gov**

Prior to preparing an application, we recommend that the Project Director/Principal Investigator (PD/PI) first contact an Authorized Representative (AR, also referred to as Authorized Organizational Representative or AOR) to determine if the organization is prepared to submit electronic applications through Grants.gov. If not (e.g., the institution/organization is new to the electronic grant application process through Grants.gov), then the one-time registration process must be completed PRIOR to submitting an application. It can take as long as 2 weeks to complete the registration process so it is critical to begin as soon as possible. In such situations, the AR should go to "Register" in the top right corner of the Grants.gov web page (or go to http://www.grants.gov/web/grants/register.html) for information on registering the institution/organization with Grants.gov. Part II.1. of the NIFA Grants.gov Application Guide contains detailed information regarding the registration process. Refer to item 2. below to locate the "NIFA Grants.gov Application Guide".

Steps to Obtain Application Package Materials

To receive application materials:

1. You must download and install a version of Adobe Reader compatible with Grants.gov to access, complete, and submit applications. For basic system requirements and download instructions, see http://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html

Grants.gov has a test package that will help you determine whether your current version of Adobe Reader is compatible.

2. To obtain the application package from Grants.gov, go to http://www.grants.gov/web/grants/applicants/download-application-package.html and enter the funding opportunity number

Funding Opportunity Number: USDA-NIFA-EXCA-005890

in the appropriate box and click "Search." From the search result, click "Select Package" to access the application package. A Grant Application Package is tied to a particular funding opportunity. You may submit an application ONLY to the particular funding opportunity to which the Grant Application Package is associated.

Contained within the application package is the "NIFA Grants.gov Application Guide." This guide contains an introduction and general Grants.gov instructions, information

about how to use a Grant Application Package in Grants.gov, and instructions on how to complete the application forms.

If you require assistance to access the application package (e.g., downloading or navigating Adobe forms) **or submitting the application,** refer to resources available on the Grants.gov website (http://www.grants.gov/web/grants/applicants/applicant-tools-and-tips.html). Grants.gov assistance is also available at:

Grants.gov customer support

800-518-4726 Toll-Free or 606-545-5035

Business Hours: 24 hours a day, 7 days a week. Closed on federal holidays.

Email: support@grants.gov

Grants.gov iPortal (see https://grants-portal.psc.gov/Welcome.aspx?pt=Grants): Top 10 requested help topics (FAQs), Searchable knowledge base, self-service ticketing and ticket status, and live web chat (available 7 a.m. - 9 p.m. ET). Get help now!

Have the following information available when contacting Grants.gov:

- Funding Opportunity Number (FON)
- Name of agency you are applying to
- Specific area of concern

B. Content and Form of Application Submission

You should prepare electronic applications following Parts V and VI of the NIFA Grants.gov Application Guide. This guide is part of the corresponding application package (see Section A. of this Part). The following is **additional information** needed to prepare an application in response to this RFA. **If there is discrepancy between the two documents, the information contained in this RFA is overriding.**

Note the attachment requirements (e.g., PDF) in Part III section 3. of the guide. <u>ANY PROPOSALS THAT ARE NON-COMPLIANT WITH THE REQUIREMENTS (e.g., content format, PDF file format, file name restrictions, and no password protected files) WILL BE AT RISK OF BEING EXCLUDED FROM NIFA REVIEW. Grants.gov does not check for NIFA required attachments or that attachments are in PDF format; see Part III section 6.1 of the guide for how to check the manifest of submitted files. Partial applications will be excluded from NIFA review. We will accept subsequent submissions of an application until close of business on the closing date in the RFA (see Part V, 2.1 of the NIFA Grants.gov Application Guide for further information).</u>

For any questions related to the preparation of an application, review the NIFA Grants.gov Application Guide and the applicable RFA. If assistance is still needed for preparing application forms content, contact:

- Email: electronic@nifa.usda.gov
- Phone: 202-401-5048
- Business hours: Monday through Friday, 7 a.m. 5 p.m. ET, excluding federal holidays.

1. SF 424 R&R Cover Sheet

Information related to the questions on this form is dealt with in detail in Part V, 2. of the NIFA Grants.gov Application Guide. See Part V., Section 2.18. of the NIFA Grants.gov Application Guide for the required certifications and assurances (e.g., Prohibition Against Entities Requiring Certain Internal Confidentiality Agreements).

2. SF 424 R&R Project/Performance Site Location(s)

Information related to the questions on this form is dealt with in detail in Part V, 3. of the NIFA Grants.gov Application Guide.

3. R&R Other Project Information Form

Information related to the questions on this form is dealt with in detail in Part V, 4. of the NIFA Grants.gov Application Guide.

a. Field 7. Project Summary/Abstract. The summary should also include the relevance of the project to the goals of **ACSE**. See Part V. 4.7 of NIFA Grants.gov Application Guide for further instructions and a link to a suggested template.

b. Field 8. Project Narrative.

NOTE: The Project Narrative shall not exceed 6 pages of written text regardless of whether it is single- or double-spaced and up to 4 additional pages for figures and tables. We have established this maximum (10 pages) to ensure fair and equitable competition. The Project Narrative must include all of the following:

Section 1: Statement of Need & Background

- Demonstrate an understanding of the nature of the issue this project is seeking to address.
- Describe how the overall project will effectively address the audience(s) to be served.
- Detail the characteristics of the institution(s) that make it particularly qualified to conduct the proposed work; describe ongoing or recently completed significant activities related to the proposed project, expert knowledge and experience in program planning, program assessment, program evaluation, particularly experience with evaluation of military support programs. Describe any experience in coordinating with other DoD – USDA Extension Military Partnership or related projects.

Section 2: Objectives

• List clear, concise, and logically arranged project goals and objectives. Goals must address the statement of need identified in the first section of your proposal; and Objectives must link to goals and address program priorities listed in Part 1, Section B.

Section 3: Approach and Design

Project Plan: Based on the deliverables, a project plan should be drafted. The project plan will be finalized based on written feedback from the ACS Program Manager after the award is made. The plan should contain the following:

- a preliminary project plan which shows the relationships between objectives, project activities, and deliverables;
- the evaluation approach to include internal, process evaluations and quality assurance controls:
- development and/or implementation challenges that may be encountered;
- a description of how stakeholder feedback and involvement will be a part of the project.
- Methods by which information will be collected and analyzed;
- Means by which data will be collected; indicate collection instruments and methods if appropriate (i.e.: questionnaire, interviews, focus groups, site visits, etc.); and
- Identification of ongoing program sustainability or improvement.

Section 4: Project Management

 Provide a brief summary of key personnel, their project functions, qualifications and expertise along with timelines, accounting procedures, reporting, and collaborative efforts.

Section 5: Project Communication Plan

 Briefly describe how pertinent information, progress and results from this project will be communicated to the project team as well as relevant stakeholders.

4. R&R Senior/Key Person Profile (Expanded)

Information related to the questions on this form is dealt with in detail in Part V, 5. of the NIFA Grants.gov Application Guide. This section of the Guide includes information about the people who require a Senior/Key Person Profile, and details about the Biographical Sketch and the Current and Pending Support, including a link to a suggested template for the Current and Pending Support.

5. <u>**R&R Personal Data**</u> – As noted in Part V, 6. of the NIFA Grants.gov Application Guide, the submission of this information is voluntary and is not a precondition of award. Part V.6. also notes the importance and use of the information.

However, NIFA strongly encourages applicants to provide the requested information to help NIFA identify and address any inequities based on gender, race, ethnicity, or disability of its proposed PDs/PIs and co-PDs/PIs. Information not submitted will seriously undermine the statistical validity, and therefore the usefulness, of information received from others. The information you submit will be kept confidential and will be used only for tracking and statistical purposes necessary to meet the demands of the agency and will not be part of the review process.

6. R&R Budget

Information related to the questions on this form is dealt with in detail in Part V, 7. of the NIFA Grants.gov Application Guide.

For further information and instructions regarding indirect costs, refer to Part V, section 7.9 of the NIFA Grants.gov Application Guide. For indirect cost funding restrictions, refer to section D. of this Part of the RFA.

7. Supplemental Information Form

Information related to the questions on this form is dealt with in detail in Part VI, 1. of the NIFA Grants.gov Application Guide.

- a. Field 2. Program to which you are applying. Enter the program code name (i.e., enter "Evaluation Plan for Army Community Service Mobilization, Deployment and Stability Support Operations") and the program code (i.e., enter "ACSE"). Note that accurate entry of the program code is very important for proper and timely processing of an application.
- **b.** Field 8. Conflict of Interest List. See Part VI, 1.8 of the NIFA Grants.gov Application Guide for further instructions and a link to a suggested template.

C. Submission Dates and Times

Prior to electronic submission of the application via Grants.gov, it is strongly recommended that an administrative review be conducted to ensure that an application complies with all application preparation instructions. An application checklist is included in Part VII of the NIFA Grants.gov Application Guide to assist with this review.

While the checklist should be used to check the application for completeness, the application should be checked additionally for the following required item(s). This is not an exhaustive list of required items; it only serves to highlight required items that are sometimes overlooked by applicants:

- Project Summary
- Project Action Plan
- Bibliography
- Current and Pending Support forms
- Conflict of Interest forms
- Letters of Commitment

Instructions for submitting an application are included in Part IV, Section 1.9 of the NIFA Grants.gov Application Guide.

Applications must be received by Grants.gov by **5:00 p.m. Eastern Time on** July 21, 2016. Applications received after this deadline will normally not be considered for funding.

If you have trouble submitting an application to Grants.gov, you should FIRST contact the Grants.gov Help Desk to resolve any problems. Keep a record of any such correspondence. See Part IV. A. for Grants.gov contact information.

We send email correspondence to the AR regarding the status of submitted applications. Therefore, applicants are strongly encouraged to provide accurate e-mail addresses, where designated, on the SF-424 R&R Application for Federal Assistance.

If the AR has not received correspondence **from NIFA** regarding a submitted application within 15 days of the established deadline, contact the Agency Contact identified in Part VII of the applicable RFA and request the proposal number assigned to the application. **Failure to do so may result in the application not being considered for funding by the peer review panel.**Once the application has been assigned a proposal number, this number should be cited on all future correspondence.

D. Funding Restrictions

Indirect Cost: Fully negotiated rate. The applicant should use the current negotiated indirect cost rate established (i.e., approved) by its cognizant Federal agency. If the applicant does not have a current negotiated rate, the applicant should request a rate from the Federal agency from which the applicant receives the most funding. See Part V section 7.9 of the NIFA Grants.gov Application Guide for further indirect cost information. See webpage at http://nifa.usda.gov/indirect-costs for indirect cost options.

Agency policy limits the maximum potential funding period (including any awards transferred from another institution or organization) to five years in duration. The funding period will commence on the effective date cited in the award instrument. Any such limitation also applies to subcontracts made under awards subject to a funding period limitation.

The PD may incur pre-award costs within the 90-day period immediately preceding the effective date of the award providing: the approval of pre-award spending is made and documented in accordance with the awardee's normal procedures prior to the incurrence of the cost(s); the advanced funding is necessary for the effective and economical conduct of the project; and the costs are otherwise allowable. Pre-award expenditures are made at the awardee's risk. AOR authority to approve pre-award costs does not impose an obligation on NIFA: (1) in the absence of appropriations; (2) if an award is subsequently not made; or (3) if an award is made for a lesser amount than the awardee expected.

Pre-award costs incurred outside the 90-day period immediately preceding the effective date of the award must receive written approval from the ADO. Please verify with the Administrative/Business Contact listed in this RFA before any pre-award expenditures. Any expenditures made before then are at your own risk.

E. Other Submission Requirements

See Part V., Section 4.12, of the NIFA Grants.gov Application Guide (Field 12 on the form) for instructions regarding mandatory Felony Convictions or Tax Delinquent Status. You should follow the submission requirements noted in Part IV, section 1.9 in the document entitled "NIFA Grants.gov Application Guide."

For information about the **status of a submitted application**, see Part III., section 6. of the NIFA Grants.gov Application Guide.

PART V—APPLICATION REVIEW REQUIREMENTS

A. General

We evaluate each application in a 2-part process. First, we screen each application to ensure that it meets the administrative requirements as set forth in this RFA. Second, a technical review panel will evaluate applications that meet the administrative requirements.

We select reviewers based upon their training and experience in relevant scientific, extension, or education fields, taking into account the following factors: (a) The level of relevant formal scientific, technical education, or extension experience of the individual, as well as the extent to which an individual is engaged in relevant research, education, or extension activities; (b) the need to include as reviewers experts from various areas of specialization within relevant scientific, education, or extension fields; (c) the need to include as reviewers other experts (e.g., producers, range or forest managers/operators, and consumers) who can assess relevance of the applications to targeted audiences and to program needs; (d) the need to include as reviewers experts from a variety of organizational types (e.g., colleges, universities, industry, state and federal agencies, and private profit and non-profit organizations) and geographic locations; (e) the need to maintain a balanced composition of reviewers with regard to minority and female representation and an equitable age distribution; and (f) the need to include reviewers who can judge the effective usefulness of each application to producers and the general public.

When each peer review panel has completed its deliberations, the responsible program staff of **ACSE** will recommend that the project: (a) be approved for support from currently available funds or (b) be declined due to insufficient funds or unfavorable review.

ACSE Team reserves the right to negotiate with the PD/PI and/or with the submitting organization or institution regarding project revisions (e.g., reductions in the scope of work, funding level, period, or method of support) prior to recommending any project for funding.

B. Evaluation Criteria

We will use the evaluation criteria below to review applications submitted in response to this RFA:

1. Potential for Developing a Formal Evaluation Plan of the Army Community Service Mobilization and Deployment Program.

This criterion is used to assess the likelihood that the project will succeed in: (1) providing the overall management of this project including partnerships and management of project staff; (2) meeting project objectives; and 3) demonstrating successful past experience with related projects, particularly those involving program assessment and evaluation in coordination with military components.

2. Proposed Approach and Program Coordination

This criterion is used to assess the soundness and sustainability of the proposed approach including statement of need, scope of the project, objectives, design, methods, key personnel, timeline, expected products and results, and communication plan.

3. Evaluation

This criterion is used to assess the adequacy of the proposed project evaluation design and its capacity to meet the project objectives.

4. Budget and Budget Narrative

This criterion is used to assess the extent to which the total budget adequately supports the project and is cost effective based on budget outline provided. Elements considered include: the necessity and reasonableness of costs to carry out project activities and achieve project objective and the adequacy of time committed to the project by key project personnel.

C. Conflicts of Interest and Confidentiality

During the peer evaluation process, we take extreme care to prevent any actual or perceived conflicts of interest that may impact review or evaluation. See http://www.nifa.usda.gov/business/competitive_peer_review.html for further information about conflicts of interest and confidentiality as related to the peer review process.

D. Organizational Management Information

Specific management information relating to an applicant shall be submitted on a one-time basis, with updates on an as-needed basis. This requirement is part of the responsibility determination prior to the award of a grant identified under this RFA, if such information has not been provided previously under this or another NIFA program. We will provide you copies of forms recommended for use in fulfilling these requirements as part of the preaward process. Although an applicant may be eligible based on its status as one of these entities, there are factors that may exclude an applicant from receiving federal financial and nonfinancial assistance and benefits under this program (e.g., debarment or suspension of an individual involved or a determination that an applicant is not responsible based on submitted organizational management information).

E. Application Disposition

An application may be withdrawn at any time before a final funding decision is made regarding the application. Each application that is not selected for funding, including those that are withdrawn, will be retained by **ACSE** for a period of three years.

PART VI—AWARD ADMINISTRATION

A. General

Within the limit of funds available for such purpose, the NIFA awarding official shall make grants to those responsible, eligible applicants whose applications are judged most meritorious under the procedures set forth in this RFA. The date specified by the NIFA awarding official as the effective date of the grant shall be no later than September 30 of the federal fiscal year in which the project is approved for support and funds are appropriated for such purpose, unless otherwise permitted by law. The project need not be initiated on the grant effective date, but as soon thereafter as practical so that project goals may be attained within the funded project period. All funds granted by NIFA under this RFA may be used only for the purpose for which they are granted in accordance with the approved application and budget, regulations, terms and conditions of the award, applicable federal cost principles, USDA assistance regulations, and NIFA General Awards Administration Provisions at 7 CFR part 3430, subparts A through E.

B. Award Notice

The award document will provide pertinent instructions and information including, at a minimum:

- (1) Legal name and address of performing organization or institution to which the director has issued an award under the terms of this request for applications;
- (2) Title of project;
- (3) Name(s) and institution(s) of PDs chosen to direct and control approved activities;
- (4) Identifying award number and the Federal Agency Identification Number assigned by NIFA;
- (5) Project period, specifying the amount of time NIFA intends to support the project without requiring recompetition for funds;
- (6) Total amount of financial assistance approved for the award;
- (7) Legal authority(ies) under which the award is issued;
- (8) Appropriate Catalog of Federal Domestic Assistance (CFDA) number;
- (9) Applicable award terms and conditions (see http://www.nifa.usda.gov/business/awards/awardterms.html to view NIFA award terms and conditions);
- (10) Approved budget plan for categorizing allocable project funds to accomplish the stated purpose of the award; and

(11) Other information or provisions deemed necessary by NIFA to carry out its respective awarding activities or to accomplish the purpose of a particular award.

C. Administrative and National Policy Requirements

Several federal statutes and regulations apply to grant applications considered for review and to project grants awarded under this program. These may include, but are not limited to, the ones listed on the NIFA web page - http://nifa.usda.gov/federal-regulations.

NIFA Federal Assistance Policy Guide—a compendium of basic NIFA policies and procedures that apply to all NIFA awards, unless there are statutory, regulatory, or award-specific requirements to the contrary is available at http://nifa.usda.gov/policy-guide.

Responsible and Ethical Conduct of Research

Please refer to Part II, C. for more information.

D. Expected Program Outputs and Reporting Requirements

Grantees are to use REEport, NIFA's electronic, web-based inventory system to submit an initial project initiation which documents expected products and outcomes of the project. Additionally, annual progress report documenting realized project outcomes must be submitted to the electronic system. The web-based system facilitates an electronic workflow between grantees and NIFA for project accomplishments to be easily searchable and allows for public access to information on Federally-funded projects. The details of these reporting requirements, including those specific to the annual and final technical reports, are included in the award terms and conditions. The output and reporting requirements are included in the award terms and conditions (see http://www.nifa.usda.gov/business/awards/awardterms.html for information about NIFA award terms). If there are any program or award-specific award terms, those, if any, will be identified in the award.

Data Use: Use of data provided to NIFA awardees pursuant to this cooperative agreement shall comply with the provisions of this paragraph. NIFA awardees shall be free to publish in professional, refereed journals information or data developed or collected (except confidential data or information including Protected Health Information (PHI) or Personal Identifiable Information (PII)) resulting from the activities under this agreement. Awardees will be required to submit a copy of any such publication to USDA NIFA sixty (60) days prior to publication for review and approval by NIFA and DoDEA to ensure that any confidentiality necessary related to the deliverables is maintained and that NIFA and the DoDEA have been accurately represented. All educational and technical assistance materials developed by the awardee under an agreement with NIFA shall be owned by the awardee. NIFA and the DoDEA will have a non-exclusive, royalty-free, non-transferable, irrevocable license to reproduce, prepare derivative work and distribute copies of the deliverables so long as such deliverables are used for non-commercial educational or government purposes. Any work produced under a NIFA award is subject to 2 CFR Part 200.315 related to intangible property.

PART VII—AGENCY CONTACT

Applicants and other interested parties are encouraged to contact:

Programmatic Contact:

Ahlishia Shipley, Ph.D. National Program Leader Division of Family and Consumer Sciences USDA, National Institute of Food and Agriculture (NIFA)

Telephone: (202) 401-6854 E-mail: <u>ashipley@nifa.usda.gov</u>

Administrative/Business Contact:

Adriene Woodin
Branch Chief
Awards Management Division
Office of Grants and Financial Management
USDA – National Institute of Food and Agriculture
(202) 401-4320 (phone)
(202) 401-1804 (fax)
awoodin@nifa.usda.gov

PART VIII—OTHER INFORMATION

A. Access to Review Information

We will send copies of reviews, not including the identity of reviewers, and a summary of the panel comments to the applicant PD after the review process has been completed.

B. Use of Funds; Changes

1. Delegation of Fiscal Responsibility

Unless the terms and conditions of the award state otherwise, awardees may not in whole or in part delegate or transfer to another person, institution, or organization the responsibility for use or expenditure of award funds.

2. Changes in Project Plans

- a. The permissible changes by the awardee, PD(s), or other key project personnel in the approved project shall be limited to changes in methodology, techniques, or other similar aspects of the project to expedite achievement of the project's approved goals. If the awardee or the PD(s) is uncertain as to whether a change complies with this provision, the question must be referred to the Authorized Departmental Officer (ADO) for a final determination. The ADO is the signatory of the award document, not the program contact.
- b. The awardee must request, and the ADO must approve in writing, all changes in approved goals or objectives prior to effecting such changes. In no event shall requests be approved for changes that are outside the scope of the original approved project.
- c. The awardee must request, and the ADO must approve in writing, all changes in approved project leadership or the replacement or reassignment of other key project personnel, prior to effecting such changes.
- d. The awardee must request, and the ADO must approve in writing, all transfers of actual performance of the substantive programmatic work in whole or in part and provisions for payment of funds, whether or not federal funds are involved, prior to instituting such transfers, unless prescribed otherwise in the terms and conditions of the award.
- e. The project period may be extended without additional financial support, for such additional period(s) necessary to complete or fulfill the purposes of an approved project, but in no case shall the total project period exceed any applicable statutory limit or expiring appropriation limitation. The terms and conditions of award include information about no-cost extensions of the award and when ADO's prior approval is necessary.
- f. Changes in Approved Budget: Unless stated otherwise in the terms and conditions of award, changes in an approved budget must be requested by the awardee and approved in writing by the

ADO prior to instituting such changes, if the revision will involve transfers or expenditures of amounts requiring prior approval as set forth in the applicable Federal cost principles, Departmental regulations, or award.

C. Confidential Aspects of Applications and Awards

When an application results in an award, it becomes a part of the record of NIFA transactions, available to the public upon specific request. Information that the Secretary determines to be of a confidential, privileged, or proprietary nature will be held in confidence to the extent permitted by law. Therefore, any information that the applicant wishes to have considered as confidential, privileged, or proprietary should be clearly marked within the application. The original copy of an application that does not result in an award will be retained by the Agency for a period of three years. Other copies will be destroyed. Such an application will be released only with the consent of the applicant or to the extent required by law. An application may be withdrawn at any time prior to the final action thereon.

D. Regulatory Information

For the reasons set forth in the final Rule related Notice to 2 CFR part 415, subpart C , this program is excluded from the scope of the Executive Order 12372 which requires intergovernmental consultation with State and local officials. Under the provisions of the Paperwork Reduction Act of 1995 (44 U.S.C. chapter 35), the collection of information requirements contained in this Notice have been approved under OMB Document No. 0524-0039.

E. Definitions

Please refer to <u>7 CFR 3430</u>, <u>Competitive and Noncompetitive Non-formula Financial Assistance Programs--General Award Administrative Provisions</u>, for applicable definitions for this NIFA grant program.